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NAREN CHAGANTI 713 THE HAMPTONS LANE TOWN & COUNTRY, MO 63017			EXAMINER COULTER, KENNETH R	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/863,425	Applicant(s) BHARADWAJ, SRINIVAS	
	Examiner Kenneth R. Coulter	Art Unit 2454	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 July 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-28 and 30-37 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-28 and 30-37 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 30 – 37 are rejected under 35 USC 101 because the claimed invention, in light of the specification (for example on page 4 (paragraph 2)), encompasses non-statutory subject matter since such reads on (encompasses) software or program per se' (In re Beauregard (CAFC) 35 USPQ2d 1383) and MPEP 2106 (new EXAMINATION GUIDELINES FOR COMPUTER-RELATED INVENTIONS). Even though drafted as "A method", each of the recited elements encompass their software or program per se' equivalent (i.e., a client such as a Netscape Web Browser and/or a server such as Apache are each software devices and yet phrased as a client and a server); thus, the whole of the method encompasses pure software or program per se'; unlike "A method executing on hardware". Also, while a hardware device claim, with functional acts, may inherently encompass a corresponding method, the same does not hold in the reverse since a corresponding method is broader in scope and can encompass a scope void of any hardware.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1 – 28 and 30 – 37 are rejected under 35 U.S.C. 102(e) as being disclosed by Kikinis (U.S. Pat. No. 6,076,109) (Simplified File Hyper-Text Protocol).

2.1 Regarding claim 16, Kikinis discloses an apparatus comprising: a client computer configured to fit in a person's hand, comprising:

a central processor unit (Fig. 2, item 25; col. 5, lines 34 – 44 “CPU”);

memory device coupled to the central processor unit, said memory being configured to store instructions to direct the central processing unit (Fig. 2, item 27; col. 5, lines 34 – 44 “memory 27”);

a communication device coupled to the central processor unit and adapted to establish a wireless communication link with one or more remotely located server computers (Fig. 2, item 17; col. 5, lines 34 – 44 “modem 17”);

second component coupled to the memory device, said second component configured to receive a compound request message from the server wherein the

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compound request message comprises a plurality of events generated in a predetermined time period (Fig. 2, item 29; col. 5, lines 34 – 44 “Video circuitry 29”); third component coupled to the memory device, said third component configured to use the compound request message to update a display state of the client computer (Fig. 2, item 29; col. 5, lines 34 – 44 “Video circuitry 29”); and a display device coupled to the central processor unit (Fig. 2, item 33), wherein said client computer device is adapted to act as a remote output device for at least one client-side application programs running on said remotely located server computers over a wide-area mobile network without the need for an execution environment on the client computer (col. 7, lines 24 – 31 “Proxy-Server then uses this specific information to translate HTML and other files from the Internet to a form readily usable **without extensive additional processing** by the hand-held unit.”; col. 12, lines 14 – 20 (see below); col. 15, lines 9 – 31 (see below)).

col. 12, lines 14 – 20

An advantage inherent in different embodiments of the present invention is that future improvements in HTML, specific WEB browsing applications, and helper applications need not be installed on the field units used in practicing the invention. Such improvements need **only be made in the Proxy-Server**. The Proxy-Server can also be **updated** to do the best translation possible for such improvements.

col. 15, lines 9 – 31

At step 811 the control routines of the enhanced server begin to create the **best fit** in multimedia content for the requested data **according to the user's device capabilities and characteristics**. This process includes step 820 wherein the system of the invention determines if a best fit is immediately available. If so, control goes on to step 812. If not, in a preferred embodiment a best fit is generated and stored at

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step 821, and then control passes on the step 812. In step 821 a graphic is created having the size and resolution of the requesting user's display, and that picture is stored for future use. If the same user or a user having a device with the same display characteristics later requests this picture, it may be retrieved and sent immediately without the need for generating the best fit.

At step 812 a single file is composed using the requested information processed according to the best multimedia fit for the user's device. That file is then transmitted to the user at step 813. Just as described above for other embodiments of the present invention **the file transmitted to the user is attuned exactly to the user's needs, and may be directly displayed without heavy software overhead at the user's device**, thereby enabling the user to maintain a **minimally-configured** and powered device.

2.2 Per claim 17, Kikinis teaches the apparatus as in claim 16, wherein the at least one client-side application program is a browser program (Fig. 2, item 43; col. 6, lines 48 – 59 “NanoBrowser 43”; col. 7, lines 11 – 20; col. 8, lines 33 – 40 “NanoBrowser”).

2.3 Regarding claim 18, Kikinis discloses the apparatus as in claim 16, wherein the at least one client-side application program is an E-mail client program (Fig. 6; col. 13, lines 37 – 67).

2.4 Per claim 19, Kikinis teaches the apparatus as in claim 16, further comprising: a portion of the memory device configured as a local cache (Figs. 2, 4, item 107; col. 2, lines 54 – 59 “caching functions”; col. 12, lines 14 – 20; col. 15, lines 9 – 31); wherein drawables corresponding to the one or more application programs are stored in the cache for local retrieval and display (Figs. 2, 4, item 107; col. 2, lines 54 – 59; col. 12, lines 14 – 20; col. 15, lines 9 – 31).

2.5 Regarding claim 20, Kikinis discloses the apparatus as in claim 16, further comprising: fourth component coupled to the communication device, said fourth component configured to transmit a user's identification information to a server (Fig. 2; Fig. 3, item 61; col. 9 lines 55 – 65); and fifth component coupled to the communication device, said fifth component configured to receive information regarding a list of applications previously executing for that user (Fig. 2; col. 12, lines 14 – 20; col. 15, lines 9 – 31).

2.6 Per claim 21, Kikinis teaches the apparatus as in claim 16, further comprising: sixth component coupled to the communication device, said sixth component configured to select one of a plurality of applications from a list of available applications (Fig. 2; col. 12, lines 14 – 20; col. 15, lines 9 – 31).

2.7 Regarding claims 22 and 23, Kikinis teaches multimedia displaying circuitry (col. 7 lines 5 – 10 “audio and video”).

2.8 Regarding claim 24, Kikinis discloses the apparatus as in claim 16, further comprising: first component coupled to the memory device, said first component configured to transmit a list of cached drawables for an active application to a server (Figs. 2, 4, item 107; col. 2, lines 54 – 59 “caching functions”; col. 12, lines 14 – 20; col. 15, lines 9 – 31).

2.9 Per claims 25, 27, and 28, Kikinis discloses the features involving groupware (Fig. 6; col. 4, lines 13 – 15; col. 8, lines 1 – 7 “**Groupware** functions”; col. 13, lines 49 – 52 “**GroupWare** database”; col. 13, lines 61 – 67 “**GroupWare** functions”), financial (col. 1, lines 21 – 30 “accounting, taxes, **financial planning**, and the like”), and calendar applications (col. 12, lines 1 – 13 “**appointment** calendar”).

2.10 Regarding claim 26, Kikinis discloses the apparatus as in claim 16, wherein the at least one client-side application program is a financial services application program (col. 1, lines 21 – 30 “accounting, taxes, **financial planning**, and the like”).

2.11 Per claims 30 – 37, the rejection of claims 16 – 28 under 35 USC 102(e) (paragraph 2.1 – 2.10) applies fully.

2.12 Regarding claims 1 – 15, the rejection of claims 16 – 28 under 35 USC 102(e) (paragraph 2.1 – 2.10) applies fully.

Response to Arguments

Applicant's arguments filed 7/28/08 have been fully considered but they are not persuasive.

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Applicant argues that Kikinis requires the use of a browser on the client device (a NanoBrowser) and that the “instant claims run the client-side applications (such as a browser) on the server and the client is a remote display device for display virtualization.”

Examiner disagrees.

The claim language does not specifically disclose that **all** applications are run on the server.

For example, “said display device is adapted to act as a remote output device for **at least one** client-side application program running ...” (claim 1, lines 14 – 16).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenneth R. Coulter whose telephone number is 571 272-3879. The examiner can normally be reached on M - F, 7:30 am - 4 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan J. Flynn can be reached on 571 272-1915. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Kenneth R Coulter/
Primary Examiner, Art Unit 2454

/KRC/